



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner of Patents, Washington, DC 20231.

June 27, 2001
Date

Cheryl Ammerlahn
Cheryl Ammerlahn

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Jason B. Elledge	Attorney Docket No.	: 500188.03
Serial No.	: 09/833,029	Group Art Unit	: 3723
Filed	: April 10, 2001	Examiner	: Not yet assigned
Title	: APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND USING SAME		

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)

Box Missing Parts
Commissioner of Patents
Washington, D.C. 20231

Sir:

With respect to the above-identified application, the Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1266.

Respectfully submitted,
DORSEY & WHITNEY LLP

Mark W. Roberts

Mark W. Roberts, Ph.D.
Registration No. 46,160

MWR:ca
Enclosures:
Postcard
1420 Fifth Avenue, Suite 3400
Seattle, Washington 98101-4010
Tel: (206) 903-8800
Fax: (206) 903-8820



JUL 02 2001

**FEE TRANSMITTAL SHEET
(FOR FY 2001)****Complete if Known**

Application No.	09/833,029
Filing Date	April 10, 2001
First Named Inventor	Jason B. Elledge
Group Art Unit	3723
Examiner	Not yet assigned
Atty. Docket Number	500188.03

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160	<input type="checkbox"/> Design Filing Fee
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee
114	150	214	75	<input type="checkbox"/> Provisional Filing Fee

Subtotal (1) \$ 710**2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total	19	- 20	= 0 x \$ 18	= \$ 0
Ind.	5	- 3	= 2 x \$ 80	= \$ 160
Multiple Dependent Claims				x \$ = \$

Subtotal (2) \$ 160

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$ 130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
115	110	215	55	Extension for reply within first month	\$
116	390	216	195	Extension for reply within 2 nd month	\$
117	890	217	445	Extension for reply within 3 rd month	\$
118	1,390	218	695	Extension for reply within 4 th month	\$
128	1,890	280	945	Extension for reply within 5 th month	\$
120	310	220	155	Filing a brief in support of an appeal	\$
121	260	270	135	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	10	240	55	Petition to revive - unavoidable	\$
141	1,240	241	620	Petition to revive - unintentional	\$
142	1,240	242	620	Utility/Reissue issue fee (+ advance copies)	\$
143	440	243	220	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	710	279	355	Request for Continued Examination (RCE)	\$

Other fee (specify)

Subtotal (3) \$ 130**Total Amount of Payment: \$ 1000****Submitted by:**

Name: Mark W. Roberts, Ph.D.

Reg. No.: 46,160

Telephone: (206) 903-8800

Signature:

Date: June 27, 2001



JP

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner of Patents, Washington, DC 20231.

June 27, 2001
Date

Cheryl Ammerlahn
Cheryl Ammerlahn

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jason B. Elledge Attorney Docket No.: 500188.03
Serial No. : 09/833,029 Group Art Unit : 3723
Filed : April 10, 2001 Examiner : Not yet assigned
Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL
PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES
AND METHODS FOR MAKING AND USING SAME

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated April 27, 2001, please find enclosed a copy of the Notice to File Missing Parts for the above-identified application.

Also enclosed is a General Authorization Under 37 C.F.R. § 1.136(a)(3).

The fees have been calculated below after taking into consideration the enclosed Supplemental Preliminary Amendment:

The fees have been calculated as follows:

Basic Fee	\$ 710
Total Claims (19, 0 extra)	0
Independent Claims (5, 2 extra)	160
Missing Parts Surcharge	130
TOTAL	<u>\$ 1,000</u>

Enclosed is a check in the amount of \$1,000.00 for the requisite fees. The Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this response is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP



Mark W. Roberts, Ph.D.
Registration No. 46,160

MWR:ca

Enclosures:

- Postcard
- Check
- Fee Transmittal Sheet (+ copy)
- Copy of this Response
- General Authorization
- Supplemental Preliminary Amendment
- Copy of Notice to File Missing Parts

1420 Fifth Avenue, Suite 3400
Seattle, WA 98101
Tel: (206) 903-8800
Fax: (206) 903-8820

o:\ip\documents\clients\micron technology\100\500188.03\500188.03 missing parts.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,029	04/10/2001	Jason B. Elledge	500188.03

CONFIRMATION NO. 1338

FORMALITIES LETTER



OC000000006014264

Mark W. Roberts, Esq.
DORSEY & WHITNEY LLP
1420 Fifth Avenue, Suite 3400
Seattle, WA 98101

Date Mailed: 04/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/06/2001 AZERGAW1 00000078 09833029

01 FC:101	710.00 OP
02 FC:102	160.00 OP
03 FC:105	130.00 OP